

01/28/02

J1135 U.S. PTO Please type a plus sign (+) inside the box → [+]

01-30-02 A

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8410M
First Inventor	John Keeney Howie, et al.
Assignee	The Procter & Gamble Company
Title	SYNTHESIS OF POLYOL MEDIUM FACTORY ACID POLYESTERS
Express Mail Label No.	ET062441229US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

Box Patent Application  
ADDRESS TO: Assistant Commissioner for Patents  
Washington, D.C. 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2.  Specification      Total Pages [23]  
*(preferred arrangement set forth below)*
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 USC §113)      Total Sheets []
4. Oath or Declaration      Total pages [2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR §1.63(d))  
*(for continuation/divisional with Box 17 complete)*
    - i.  **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application.  
see 37 CFR §§1.63(d)(2) and 1.33(b).
5.  Application Data Sheet. See 37 CFR §1.76

6.  CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))
9.  37 CFR 3.73(b) Statement       Power of Attorney *(when there is an assignee)*
10.  English Translation Document *(if applicable)*
11.  Information Disclosure       Copies of IDS Statement (IDS)/PTO-1449 Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
15.  Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
16.  Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76: Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. /

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

[X] Customer Number	(Insert Customer No. here) 27752	
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Name (Print/Type)	Carl J. Roof	Registration No. (Attorney/Agent)	37,708
Signature	Carl J. Roof	Date	January 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you + are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$884.00)

**Complete if Known**

Application Number	Unknown
Confirmation Number	Unknown
Filing Date	January 28, 2002
First Named Inventor	John Keeney Howie, et al.
Examiner Name	Unknown
Group/Art Unit	Unknown

Attorney Docket No. 8410M

**METHOD OF PAYMENT (check one)**

[X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480  
 Deposit Account Name The Procter & Gamble Company  
 Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

**FEE CALCULATION****BASIC FILING FEE – Large Entity**

Code (\$)	Fee Description	Fee Paid
101 740	Utility filing fee	[740]
106 330	Design filing fee	[]
108 740	Reissue filing fee	[]
114 160	Provisional filing fee	[]

SUBTOTAL (1) (\$)[740]

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE – Large Entity**

Extra Claims	Fee from Below	Fee Paid
Total Claims [28] - 20** = [8] x [18] = [144]		

Independent Claims [3] - 3\*\* = [0] x [0] = [0]

Multiple Dependent [0] = [0]

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 84	Independent claims in excess of 3
104 280	Multiple dependent claim, if not paid
109 84	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)[144]

**3. ADDITIONAL FEES**

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	[]
127 50	Surcharge-late provisional filing fee or cover sheet	[]
139 130	Non-English specification	[]
147 2,520	For filing a request for <i>ex parte</i> reexamination	[]
112 920*	Requesting publication of SIR prior to Examiner's action	[]
113 1,840*	Requesting publication of SIR after Examiner's action	[]
115 110	Extension for reply within 1 <sup>st</sup> month	[]
116 400	Extension for reply within 2 <sup>nd</sup> month	[]
117 920	Extension for reply within 3 <sup>rd</sup> month	[]
118 1,440	Extension for reply within 4 <sup>th</sup> month	[]
128 1,960	Extension for reply within 5 <sup>th</sup> month	[]
119 320	Notice of Appeal	[]
120 320	Filing a brief in support of an appeal	[]
121 280	Request for oral hearing	[]
138 1,510	Petition to institute a public use proceeding	[]
140 110	Petition to revive - unavoidable	[]
141 1,280	Petition to revive - unintentional	[]
142 1,280	Utility issue fee (or reissue)	[]
143 460	Design issue fee	[]
122 130	Petitions to the Commissioner	[]
123 50	Petitions related to provisional applications (37 C.F.R. 1.17(q))	[]
126 180	Submission of Information Disclosure Statement	[]
146 740	Filing a submission after final rejection (37 CFR § 1.129(a))	[]
149 740	For each additional invention to be examined (37 CFR § 1.129(b))	[]
179 740	Request for Continued Examination (RCE)	[]
169 900	Request for expedited examination of a design application	[]
091 1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	[]
Other fee (specify) _____		[]
Other fee (specify) _____		[]

\* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$)[0]

**SUBMITTED BY**

Name (Print/Type)	Carl J. Roof	Registration No. (Attorney/Agent)	37,708	Complete (if applicable)
Signature	Carl J. Roof			Telephone (513) 634-5209

Date 01/28/2002

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(Revised for P&amp;G use 12/7/01)